

10/13/00

JC948 U.S. PTO

10-16-00

A

UTILITY PATENT APPLICATION TRANSMITTAL

Submit an original and a duplicate for fee processing
(Only for new nonprovisional applications under 37 CFR 1.53(b))

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

Attorney Docket No. 99,829-A

First Named Inventor T.G. Dinan

Express Mail No. EL442910811US

Total Pages

APPLICATION ELEMENTS

1. ☒ Transmittal Form with Fee
2. ☒ Specification (including claims and abstract) [Total Pages 11]
3. ☐ Drawings [Total Sheets 0]
4. ☐ Oath or Declaration [Total Pages]
 - a. ☐ Newly executed
 - b. ☐ Copy from prior application

[Note Boxes 5 and 17 below]

 - i. ☐ Deletion of Inventor(s) Signed statement attached deleting inventor(s) named in the prior application
5. ☐ Incorporation by Reference: The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. ☐ Microfiche Computer Program
7. ☐ Nucleotide and/or Amino Acid Sequence Submission
 - a. ☐ Computer Readable Copy
 - b. ☐ Paper Copy
 - c. ☐ Statement verifying above copies

ACCOMPANYING APPLICATION PARTS

8. ☐ Assignment Papers
9. ☐ Power of Attorney
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure Statement (IDS)
 - ☐ PTO-1449 Form
 - ☐ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard (Should be specifically itemized)
14. ☐ Small Entity Statement(s)
 - ☐ Enclosed
 - ☐ Statement filed in prior application; status still proper and desired
15. ☐ Certified Copy of Priority Document(s)
16. ☐ Other:

17. ☒ This is a CONTINUING APPLICATION. Please note the following:

- a. ☒ This is application claims the priority of Provisional Application 60/161,117 filed October 22, 1999.
- b. ☐ Cancel in this application original claims ____ of the prior application before calculating the filing fee.
- c. ☐ Amend the specification by inserting before the first line the sentence:
This is a ☐ continuation ☐ divisional ☐ continuation-in-part
of application Serial No.
- d. ☐ The prior application is assigned of record to

10/13/00

09/687384

10/13/00

10/13/00

Attorney Docket No. 99,829-A

BASIC FEE

\$ 710.00

18. ☐ Please charge my Deposit Account No. 13-2490 in the amount of \$

19. ☒ A check in the amount of \$710.00 is enclosed.

20. The Commissioner is hereby authorized to credit overpayments or charge any additional fees of the following types to Deposit Account No. 13-2490:

a. ☒ Fees required under 37 CFR 1.16.

b. ☒ Fees required under 37 CFR 1.17.

c. ☒ Fees required under 37 CFR 1.18.

21. ☒ The Commissioner is hereby generally authorized under 37 CFR 1.136(a)(3) to treat any future reply in this or any related application filed pursuant to 37 CFR 1.53 requiring an extension of time as incorporating a request therefor, and the Commissioner is hereby specifically authorized to charge Deposit Account No. 13-2490 for any fee that may be due in connection with such a request for an extension of time.

I hereby certify that I directed that the correspondence identified above be deposited with the United States Postal Service as "Express Mail Post Office to Addressee" under 37 CFR § 1.10 on the date indicated below and is addressed to the Asst. Commissioner for Patents, Box Patent Application, Washington, DC 20231.

PATENT & TRADEMARK OFFICE



020306

| | |
|------|--------------------------------------|
| Name | McDonnell Boehnen Hulbert & Berghoff |
|------|--------------------------------------|

Address

Address

City, State, Zip

| | |
|----------|-------------------|
| Name | John J. McDonnell |
| Reg. No. | 26,949 |

Signature

J. H. Connell
October 13, 2000

Date _____

October 13, 2000

TITLE : Treatment and prevention of gastrointestinal disease using antagonists or partial agonists of 5HT1a receptors

INVENTORS: T.G. Dinan
Merton House
Frenches Walk
Cobh
Co. Cork
Ireland

P.W.N. Keeling
Merton House
Frenches Walk
Cobh
Co. Cork
Ireland

This application claims the priority of Provisional Application 60/161,117
5 filed October 22, 1999.

FIELD OF THE INVENTION

10 The present invention provides a method for preventing and treating
gastrointestinal diseases such as dyspepsia, irritable bowel disease and chemotherapy-
associated nausea by administering an antagonist or partial agonist of 5HT1a
receptors.

15

BACKGROUND OF THE INVENTION

Dyspepsia is a common symptom ranging in prevalence from 26% in the United
20 States to 41% in England (1). Whilst only 1 in 4 patients seek medical help (2) the
condition results in significant health care costs (3) and an organic cause is found in
only 40% of patients. The Rome criteria for diagnosing idiopathic or nonulcer
dyspepsia (NUD) were put forward in 1991 and consist of chronic or recurrent upper
abdominal pain or discomfort in the absence of obvious pathology (4). The Rome
25 group suggested subcategorising NUD into ulcer-like, reflux-like, dysmotility-like
and non-specific dyspepsia. This classification has been questioned on the grounds
that there is a marked overlap of symptoms and an overlap between the symptoms and
those of the irritable bowel syndrome (5).

Conventional diagnostic evaluation (endoscopy, ultrasonography, 24h ambulatory pH monitoring) does not reveal a structural or biochemical abnormality to explain NUD. Attempts at elucidating the pathophysiology of the condition have produced inconsistent findings (6) and a wide array of theories are currently put forward (7).

5

Serotonin (5HT) is a neurotransmitter both in the enteric nervous system (8) and in the brain (9). It plays a key role in regulating gut physiology, including peristalsis and intestinal tone (10). Animal studies have shown that intracerebroventricular injection of fenfluramine (a serotonin releasing agent) inhibits gastric emptying (11).

10 Selective serotonin reuptake inhibitors, such as fluoxetine and sertraline, are widely used in the treatment of depression and produce a transient syndrome similar to NUD in up to 30% of patients treated (12).

Studies indicate that a central 5HT_{1a} receptor hypersensitivity may be involved in the pathophysiology of NUD (13,14). The release of prolactin from the anterior pituitary is under dopamine inhibition and under 5HT stimulation, probably at the level of the hypothalamus (15). Buspirone is an azaspirodecanedione, which acts as a partial agonist at the 5HT_{1a} receptor (16) and stimulates prolactin release. We have established that prolactin release following buspirone challenge is enhanced in NUD indicating 5HT_{1a} receptor supersensitivity in this condition.

15

20

We have demonstrated this in a clinical study that extends our previous findings reported in U.S. Patent No. 5,403,848.

A total of 109 subjects, 50 NUD patients (39 female/11 male) and 59 healthy comparison subjects (32 female/28 male) gave fully informed consent to take part in the study, which had Ethics Committee approval. The mean \pm SD age of the patients was 35.6 \pm 12.2 years (Range 20-62) and of the comparison group 27.2 \pm 7.6 years

5 (Range 20-52). At 0830h subjects had a cannula inserted in a forearm vein.

Buspirone (30mg) or matching placebo was administered orally at 0900h (Time 0).

Blood was taken at 0, 30, 60, 90, 120 and 180min. Prolactin levels rose in all subjects challenged with buspirone. The mean \pm SD AUC in patients was 46 \pm 35 and in healthy subjects 24 \pm 35. A 2-way repeated measures ANOVA yields a significant group X

10 time interaction, with differences significant at 60min ($p<0.05$), 90 min ($p<0.01$) and 120 min ($p<0.05$). Prolactin concentration at 90 min provided the best discrimination between the two groups.

According to the present invention, what is required to treat non-ulcerative dyspepsia
15 is the administration of effective amounts of a substance that reduces the sensitivity of 5HT1a receptors and we have discovered that pindolol, which has affinity for 5HT1a receptors has beneficial effects in subjects suffering from non-ulcerative dyspepsia.

SUMMARY OF THE INVENTION

The present invention provides a means for prevention and treatment of gastrointestinal disease by administration of a substance that reduces the sensitivity of

5 5HT1a receptors. A preferred means is the administration of RS pindolol or a salt thereof. An especially preferred means is the administration of S (-) pindolol or a salt thereof.

DETAILED DESCRIPTION OF THE INVENTION

10

As noted earlier, this invention can use any substance that is an antagonist or a partial agonist of 5HT1a receptors such that the sensitivity of 5HT1a receptors described above is reduced.

15 Pindolol is a beta adrenergic antagonist, used in the treatment of hypertension and angina. It also has affinity for 5HT1a receptors of a similar magnitude as its affinity for beta adrenergic receptors. Until now, no therapeutic applications of this phenomenon have been discovered. Pindolol is used therapeutically in hypertension and angina as the racemic substance, RS pindolol. Most or all of the pharmacological

20 effects of pindolol are possessed by the isomer S (-) pindolol. The present invention utilizes pindolol to reduce the sensitivity of 5HT1a receptors and as a result to provide the means for prevention and treatment certain gastrointestinal diseases, including non-ulcerative dyspepsia. A preferred embodiment of the invention is the isomer S (-) pindolol or salts thereof. Another method utilizes the administration of

25 cyproheptadine, described in U.S. Patents 5,324,738 and 5,403,848. The latter also describes a method for diagnosis of non-ulcerative dyspepsia by measuring the

responsiveness of 5HT1a receptors. RS pindolol has an advantage over cyproheptadine of greater selectivity for the 5HT1a receptor and S (-) pindolol has further advantages of greater potency and specificity.

The invention is likely to be effective in various presentations of gastrointestinal disease in which there is altered sensitivity of 5HT1a receptors. We have specific demonstration of the role of 5HT1a receptors in non-ulcerative dyspepsia, but there is likely to be also benefit in certain cases of irritable bowel syndrome, especially that occurring in the upper intestinal region and in certain cases of motility disorders (including nausea) caused by cancer chemotherapy.

10

Various pharmaceutical presentations are possible, including (but not limited to) tablets, capsules, oral solutions and suspensions and parenteral solutions. Included are also pharmaceutical formulations for oral use in which the active substance is released in a controlled and slower fashion such that the treatment may be administered less frequently.

15

The usual doses of RS pindolol and S (-) pindolol will be in the range of 2.5mg to 50mg daily in single or divided doses, depending upon the therapeutic response and the pharmaceutical form. The usual doses of S (-) pindolol will be lesser than those of RS pindolol since the former will be more potent because it is responsible for most or all of the pharmacological effects.

20

The invention is intended for the treatment of mammals, including humans.

The ability of the invention to treat gastrointestinal disease has been demonstrated in a clinical study.

EXAMPLE

5

Eleven patients suffering from non-ulcerative dyspepsia were recruited to a clinical study and gave informed consent. All were treated with pindolol 2.5mg three times daily. Seven of the 11 patients showed a significant improvement in symptoms within 1 week of commencing treatment. A further patient improved in the second week.

10 Their responses were quantified using a standard rating scale (GSRS scores). The results demonstrated a substantial improvement with a reduction in average symptom severity of approximately 68% in three weeks, with the greatest improvement observed within one week.

15

Table 1. Mean symptom score (average of 11 patients)

| Week | Mean GSRS Score |
|------|-----------------|
| 0 | 9 |
| 1 | 4.2 |
| 2 | 3.5 |
| 3 | 2.9 |

REFERENCES TO PREVIOUS PATENTS

T.G. Dinan and P.W.N. Keeling U.S. Patent No. 5,324,783
T.G. Dinan and P.W.N. Keeling U.S. Patent No. 5,403,848

5

OTHER REFERENCES

1. Fisher RS, Parkman HP. Management of nonulcer dyspepsia. *N Engl J Med* 1998;339:1376-81.
- 10 2. Brown C, Rees EWE. Dyspepsia in general practice. *BMJ* 1990;300:829-30.
3. Nyren O, Adami HO, Gustavsson S, Loof L. Excess sick-listing in nonulcer dyspepsia. *J Clin Gastroenterol* 1986;8:339-45.
- 15 4. Talley NJ, Colin-Jones D, Koch KI, Koch M, Nyren O, Stranghellini V. Functional dyspepsia: a classification with guidelines of diagnosis and management. *Gastroenterol Int* 1991;4:145-60.
- 20 5. Talley NJ, Zinsmeister AR, Schleck CD, Melton LJ. Dyspepsia and dyspepsia subgroupings: a population-based study. *Gastroenterology* 1992;102:1259-68.
6. Talley NJ, Philips SF. Non-ulcer dyspepsia: potential causes and pathophysiology. *Ann Intern Med* 1988;108:865-79.
- 25 7. Dotevall G. Psychosomatic gastroenterology today and some ideas for tomorrow. *Gastroenterol Int* 1989;2:96-100.
8. Gershon MD, Erde SM. The nervous system of the gut. *Gastroenterology* 1981;80:1571-94.
- 30 9. Baumgarten HG, Grozdanovic Z. Neuroanatomy and neurophysiology of central serotonergic systems. *J Serotonin Res* 1994;1:171-81.
- 35 10. Lundgren O, Svanvik J, Jivegard L. Enteric nervous system: 1. Physiology and pathophysiology of the intestinal tract. *Digest Dis Sci* 1989;34:264-83.
11. Rowland N, Carlton J. Inhibition of gastric emptying by peripheral and central fenfluramine in rats: correlation with anorexia. *Life Sci* 1984;34:2495-9.
- 40 12. Thakore JH, Berti C, Dinan TG. Treating depression with specific serotonergic acting agents. *J Serotonin Res* 1996;3:145-160.
- 45 13. Dinan TG, Yatham LN, Barry S, Chua A, Keeling PWN. Serotonin supersensitivity: the pathophysiologic basis of non-ulcer dyspepsia? A preliminary report of buspirone/prolactin responses. *Scand J Gastroenterol* 1990;25:541-44.

14. Chua A, Keating J, Hamilton D, Keeling PWN, Dinan TG. Central serotonin receptors and delayed gastric emptying in in-ulcer dyspepsia. *BMJ* 1992;305:280-2.
- 5 15. Lamberts SWJ, Macleod RM. Regulation of prolactin secretion at the level of the lactotroph. *Physiol Rev.* 1990;70:279-318.
16. Meltzer HY, Maes M. Effects of buspirone on plasma prolactin and cortisol levels in major depressed and normal subjects. *Biol Psychiat.* 1994;35:316-323.

10

What is claimed is:

1. A method for preventing and treating gastrointestinal disease by means of administration of an effective amount of an antagonist or partial agonist of 5HT_{1a} receptors.
5
2. A method according to claim 1 employing an effective amount of the racemic substance RS pindolol or a salt thereof.
10
3. A method according to claim 1 employing an effective amount of one of the enantiomers, S (-) pindolol of claim 2 or a salt thereof.
15
4. A method according to claim 1 in which effective amounts of RS-pindolol or S(-) pindolol or their salts are administered in a pharmaceutical dosage form that permits rapid release of the active substances.
20
5. A method according to claim 1 in which effective amounts of RS pindolol or S(-) pindolol or their salts are administered in a pharmaceutical dosage form that releases the active substances in a slow or controlled fashion that in turn permits administration of the active substances at lesser frequency than in claim 4.
25
6. A method according to claim 1 in which the gastrointestinal diseases are characterised as non-ulcerative dyspepsia or irritable bowel syndrome or chemotherapy-associated disorders of motility, including nausea.

ABSTRACT OF THE DISCLOSURE

5 The present invention provides a method for preventing and treating gastrointestinal diseases such as dyspepsia, irritable bowel disease and chemotherapy-associated nausea by administering an antagonist or partial agonist of 5HT1a receptors.